

Contents

Executive Summary	1
1 Introduction	15
The Life Sciences Today, 16	
The "Dual Use" Dilemma, 18	
A Brief History of Modern Biological Warfare, 19	
U.S. Policy and the Creation of the Biological and Toxin Weapons Convention, 21	
The New Threat, 22	
Recent Examples of "Contentious Research" in the Life Sciences, 24	
The Response of the Life Sciences Community to Previous Challenges, 29	
Committee Charge and Process, 30	
Annex: Biological Warfare in History, 33	
2 The Evolving Regulatory Environment for Life Sciences Research in the 21st Century	41
Introduction, 41	
The U.S. Regulatory Environment, 44	
Framework for Implementation of the NIH Guidelines for rDNA Research, 46	
Types of Experiments That Require IBC, RAC and NIH Director Review, 49	
Physical and Biological Containment Strategies for NIH-Funded rDNA Research Activities, 49	

Compliance with and Enforcement of the NIH Guidelines, 52	
Regulation of Microbial Agents (Listed Agents and Toxins), 52	
Possession of Select Agents, 58	
Importation and Interstate Shipment of Etiologic Agents, 58	
Oversight of Foreign Nationals, 59	
Professional Education and Responsibilities of Life Scientists, 62	
The International Situation, 63	
Conclusions, 67	
Annex, 69	
3 Information Restriction and Control Regimes	79
Introduction, 79	
Past as Prologue?, 80	
Secret and Sensitive Information, 86	
Publication of Sensitive Information in the Life Sciences, 96	
Conclusions, 99	
4 Conclusions and Recommendations	107
Introduction, 107	
Key Assumptions, 108	
Recommendations, 110	
Conclusion, 126	
Appendixes	
A Acronyms	131
B Biographical Sketches of Committee Members	135
C Committee Meetings	143