

Contents

Preface.....	xiii
Acknowledgements	xv
Authors	xvii
1 Introduction.....	1
1.1 Why and How Biological Systems Differ from Their Counterparts?	1
1.2 Factors in Biological Systems.....	1
1.2.1 Fermentation Process.....	2
1.2.1.1 Upstream Operations.....	2
1.2.1.2 During Fermentation.....	2
1.2.1.3 Downstream Operations	4
1.2.1.4 Special Biological Systems.....	4
1.2.2 Classification of Factors	4
1.3 Terminologies	5
1.3.1 Replication.....	5
1.3.1.1 Reasons for Replication.....	5
1.3.2 Repetition of Assay	6
1.3.3 Random Effect.....	6
1.3.4 Blocking	6
1.3.5 Levels and Range of Factors	6
1.3.6 Errors.....	6
1.3.6.1 Types of Errors in Statistically Designed Experimentation.....	7
1.3.7 Sample.....	7
1.3.8 Sample Size.....	8
1.3.8.1 Parameters to Evaluate.....	8
1.3.9 Variables.....	9
1.3.9.1 Continuous Variables	9
1.3.10 Covariance.....	9
1.3.10.1 Properties of Covariance.....	9
1.3.11 Variance	10
1.3.11.1 How Shall One Calculate Variance?.....	10
1.3.12 Coefficient of Variation	11
1.3.13 Standard Error	12
1.3.13.1 Differences between sd and SE.....	12
1.3.14 Correlation Coefficient.....	12
1.3.15 Correlation Ratio	12
1.3.16 Coefficient of Determination	13
1.3.17 Adjusted R^2	13

1.3.18	R^2 for Prediction	13
1.3.19	Adequate Precision.....	13
1.3.20	Confidence Interval.....	13
1.3.21	Outliers	14
1.3.22	ANOVA	14
	1.3.22.1 ANOVA Table.....	15
1.3.23	Commonly Used Statistics	17
	1.3.23.1 t -Statistic	17
	1.3.23.2 F -Statistic.....	17
	1.3.23.3 Chi-Square Statistic.....	17
1.3.24	ANCOVA	18
	1.3.24.1 Application	18
1.3.25	Testing of Hypothesis	18
1.3.26	Data Analysis	18
1.4	What Is Optimization?	19
1.4.1	Application	21
	1.4.1.1 Solved Example.....	21
	Exercises	22
	References	23
2	Non-Statistical Experimental Design.....	25
2.1	Introduction.....	25
2.1.1	What Is an Experiment?	25
2.2	Steps in Designing an Experiment.....	26
2.2.1	OFAT/OVAT	27
2.2.2	Best Guess Approach	28
2.2.3	Self-Directing Experimental Design.....	31
	2.2.3.1 Advantages.....	31
	2.2.3.2 Overall Process	32
	2.2.3.3 Theory of the Design	32
	2.2.3.4 Limitations	33
	2.2.3.5 Initial Runs	33
	Exercises	37
	References	39
	Further Reading	39
3	Response Surface Experimental Designs.....	41
3.1	Introduction	41
3.2	Principal Objective of Response Surface Method	41
3.3	Drawback	42
3.4	Types of Response Surfaces.....	42
3.5	Classification of Response Surface Designs	45

3.6	First-Order Designs	46
3.6.1	OFAT/One Variable at a Time	46
3.6.2	All Variables at a Time	48
3.6.2.1	2 ^k -Factorial Experimental Designs	49
3.7	Non-Geometric Design	60
3.7.1	Plackett-Burman Design	60
3.7.1.1	Construction of the Plackett-Burman Design	60
3.7.1.2	Method to Perform Experiments Using the Plackett-Burman Design	61
3.8	Second-Order Designs	66
3.8.1	Coding of Variables	66
3.8.2	Koshal OFAT Second-Order Design	66
3.8.3	3 ^k -Designs	67
3.8.4	Central-Composite Design	67
3.8.4.1	Types of CCD	70
3.8.4.2	Coding of Variables	71
3.8.4.3	Matrix for Special Cases of CCD	71
3.8.5	Box-Behnken Design	74
3.8.5.1	Characteristics of the Design Points	74
3.8.5.2	Differences between the CCD and the Box-Behnken Design	75
3.8.5.3	Design Matrix	75
3.8.6	Doehlert Designs	76
3.8.7	Some Special Saturated or Near Saturated Designs	77
	Exercises	77
	References	81
4	Statistical Analysis of Experimental Designs and Optimization of Process Variables	83
4.1	Introduction	83
4.2	Analysis of Experimental Designs	84
4.2.1	First-Order Designs	91
4.2.1.1	OFAT Design of Koshal	91
4.2.1.2	Simplex Design	92
4.2.1.3	2 ^k -Design	92
4.2.1.4	Screening Design of Plackett-Burman	92
4.2.2	Second-Order Designs	101
4.2.2.1	Central-Composite Designs	101
4.2.2.2	Box-Behnken Design	109
4.3	To Find Optimal Conditions of Experimental Variables for the Bioprocesses	112

4.3.1	For a First-Order Surface.....	113
4.3.2	For a Second-Order Surface.....	114
4.3.2.1	For Single-Response System	114
4.3.2.2	Second-Order Surface for Multi-Response ...	131
	Exercises	137
	References	146
	Further Reading	147
5	Evolutionary Operation Programmes	149
5.1	Introduction.....	149
5.1.1	Characteristics	149
5.1.2	Why Is It Important?	150
5.1.3	When Can We Use the EVOP Method?.....	151
5.1.4	Influence of Knowledge of Statistics	151
5.2	Classification of EVOP	151
5.2.1	Classical EVOP.....	151
5.2.2	Rotating Square EVOP.....	151
5.2.3	Random EVOP	151
5.2.4	Simplex EVOP.....	151
5.3	Specific Terminologies.....	153
5.4	Worksheet for EVOP	153
5.4.1	Solved Examples.....	155
5.5	Response Surface.....	160
	Exercises	160
	References	165
6	Taguchi's Design.....	167
6.1	Introduction	167
6.1.1	History of Taguchi's Design	168
6.2	Aim of Taguchi's Design	169
6.3	Experimental Designs versus Taguchi's Design	169
6.4	Basis of Taguchi's Design Technique.....	170
6.5	Classes of Optimization Problems.....	170
6.6	Terminologies.....	171
6.7	Array in Orthogonal Design.....	171
6.7.1	Sample Design Arrays for Taguchi's Experimental Plan.....	174
6.8	Signal-to-Noise Ratio	175
6.8.1	Application of S/N Ratio.....	178
6.9	Orthogonal Array	179
6.10	Taguchi's Method	182
6.11	ANOVA for Optimization of Experimental Parameters Using a Taguchi Design of Experiment	185

6.11.1	Simple ANOVA.....	185
6.11.2	Advanced ANOVA.....	186
6.11.3	Systematic ANOVA Analysis.....	186
6.12	Limitation in Taguchi's Design.....	193
6.13	Outcome of Taguchi's Design.....	193
6.14	Application of Taguchi's Design.....	193
	Exercises.....	194
	References.....	198
	Further Reading.....	198
7	Hybrid Experimental Design Based on a Genetic Algorithm.....	199
7.1	Introduction.....	199
7.2	Need for Search Algorithms.....	200
7.3	Method.....	200
7.3.1	Procedure.....	201
7.3.1.1	Theory.....	201
7.3.1.2	Candidate Defined.....	201
7.3.1.3	Typical Genetic Algorithm.....	202
7.3.2	Genetic Representation of the Solution Domain.....	202
7.3.3	Fitness Function for Evaluating the Solution Domain.....	202
7.4	Terminologies.....	203
7.4.1	Genetic Operators.....	203
7.4.1.1	Mutation.....	203
7.4.1.2	Crossover.....	205
7.5	Limitations of Genetic Algorithm.....	206
7.6	How GA Finds Uses in Biological Systems?.....	208
7.6.1	Practical Applications.....	209
7.6.2	Solved Examples.....	211
7.7	Hybrid Design of Experiments Based on GA.....	214
7.7.1	Box-Behnken and GA-Based Hybrid Design.....	214
7.7.2	Central-Composite Design and GA-Based Hybrid Design.....	214
7.7.3	Integration with GA.....	215
7.8	Relevant Problems and Their Solution.....	216
	Exercises.....	243
	References.....	251
	Further Reading.....	252
	Appendix.....	253
	Index.....	271