

Contents

Contributors

xvii

1. Same Principles, Some Differences

Javier Guillén and Patri Vergara

References

3

2. Oversight of Research Animal Welfare in the United States

John F. Bradfield, B. Taylor Bennett, and Cynthia S. Gillett

Introduction

6

The Animal Welfare Act (Public Law 89-544)

8

Public Health Service Policy (PHS Policy)

10

The Guide for the Care and Use of Laboratory Animals (NRC 2011)

11

Guide for the Care and Use of Agricultural Animals in Research
and Teaching (FASS, 2010)

12

US Government Principles for the Utilization and Care of Vertebrate
Animals Used in Testing, Research, and Training (Federal Register,
Vol. 50, No. 97, 1985) (US Government Principles)

13

The American Veterinary Medical Association Guidelines on
Euthanasia

14

The Association for the Assessment and Accreditation of Laboratory
Animal Care, International

15

The Cornerstones of Research Animal Oversight in the US

15

The Principles

16

AWA/AWR's

16

PHS Policy and OLAW

16

Scope/Applicability

18

AWA/AWR's

18

PHS Policy and OLAW

18

Authorization of User-Breeding Institutions/Inspections/Penalties
by Competent Authorities

19

AWA/AWR's

19

PHS Policy and OLAW

21

AAALAC International

22

Nonhuman Primates: Special Considerations and Restrictions

22

AWA/AWR's

22

PHS Policy and OLAW

23

Genetically Altered Animals: Special Consideration	24
AWA/AWR's	24
PHS Policy and OLAW	24
Institutional and Designated Personnel Responsibilities	25
AWA/AWR's	25
PHS Policy and OLAW	26
Oversight and Ethical Review Process	28
AWA/AWR's	28
PHS Policy and OLAW	28
Reuse	31
AWA/AWR's	31
PHS Policy and OLAW	31
Setting Free/Rehoming	32
AWA/AWR's	32
PHS Policy and OLAW	32
Occupational Health and Safety	32
AWA/AWR's	32
PHS Policy and OLAW	33
Occupational Health and Safety in the Care and Use of Research Animals	35
Education, Training, and Competence of Personnel	35
AWA/AWR's	35
PHS Policy and OLAW	36
Transport	37
AWA/AWR's	37
PHS Policy and OLAW	37
Housing and Enrichment	39
AWA/AWR's	39
PHS Policy and OLAW	40
Husbandry and Environment	43
AWA/AWR's	43
PHS Policy and OLAW	43
Veterinary Care	46
AWA/AWR's	46
PHS Policy and OLAW	47
Conduct of Experimental Procedures	49
AWA/AWR's	49
PHS Policy, OLAW	49
Euthanasia	51
AWA/AWR's	51
AVMA Guidelines on Euthanasia	51
PHS Policy and OLAW	53
Equipment and Facilities	54
AWA/AWR's	54
PHS Policy and OLAW	54
Miscellaneous	57
AWA/AWR's	57
References	57

3. Canada's Oversight of Animal Use in Science

Gilly Griffin and Michael Baar

General Framework	61
The Principles	65
Scope/Applicability	66
Authorization of User-Breeding Institutions/Inspection/Penalties by Competent Authorities	68
Nonhuman Primates: Special Considerations and Restrictions	70
Genetically Altered Animals: Special Considerations	70
Institutional and Designated Personnel Responsibilities	71
Oversight and Ethical Review Process	72
Reuse	75
Setting Free/Rehoming	77
Occupational Health and Safety	77
Education, Training, and Competence of Personnel	78
Transport	79
Housing and Enrichment	79
Husbandry and Environment	81
Veterinary Care	82
Conduct of Experimental Procedures	84
Euthanasia	85
Equipment and Facilities	90
References	91

4. Laboratory Animal Science Legislation in Latin America

*Ekaterina Akimovna Botovchenko Rivera, Cecilia Carbone,
Rafael Hernandez Gonzalez, and Juan Manuel Baamonde*

General Framework	95
Countries with a Specific Law in the Care and Use of Experimental Animals	100
The Principles	101
Scope/Applicability	102
Authorization of User/Breeding Institutions	103
Inspections	104
Penalties	104
Nonhuman Primates	105
Genetically Altered Animals	105
Institutional and Designated Personnel Responsibilities	106
Ethical Review Process	106
Reuse	110
Setting Free/Rehoming	110
Occupational Health and Safety	110
Education, Training, and Competence of Personnel	111
Transport	111
Housing and Enrichment	112

Husbandry and Environment	112
Veterinary Care	112
Conduct of Experimental Procedures	113
Euthanasia	113
National Laboratory Animal Science Associations	114
References	115
5. The European Framework on Research Animal Welfare Regulations and Guidelines	
<i>Javier Guillén, Jan-Bas Prins, David Smith, and Anne-Dominique Degryse</i>	
General Framework	118
The Council of Europe	118
European Treaty Series 123	119
The European Union	120
Directive 2010/63/EU	120
The Principles	123
Scope and Applicability: Activities, Animals, and Institutions Covered	125
Requirements for Breeders, Suppliers, and Users	129
Authorization of Breeders, Suppliers, and Users	129
Penalties	130
Inspections	130
Reporting—Annual Statistics	131
Reporting—Implementation of the Directive	132
Reporting—Periodic Reports	132
Reporting—Nontechnical Project Summaries	132
Nonhuman Primates: Special Considerations and Restrictions	133
Capture of Wild-Caught Animals	134
Use and Reuse of Nonhuman Primates	134
Specific Conditions for Establishments Using Nonhuman Primates	135
Genetically Altered Animals: Special Considerations	135
Institutional and Designated Personnel Responsibilities	139
The Person Responsible for Overall Compliance	139
The Person(s) Responsible for Welfare and Care	139
The Designated Veterinarian	140
The Person Responsible for the Scientific Project	140
The Person(s) Carrying Out Procedures	141
The Person(s) Responsible for Education and Competence	141
The Person Responsible for Access to Species-Specific Knowledge	141
Oversight and ERP	141
Reuse of Animals	146
Setting Free/Rehoming	147
Occupational Health and Safety	148
89/391/EEC: Framework Directive	149
Individual Directives	152

302	Concluding Remarks	152
303	Education, Training, and Competence of Personnel	153
303	Requirements of Directive 2010/63/EU	154
303	Development of a Modular Training Structure	155
303	Supervision and Assessment of Competence	157
303	Training Records	157
303	Quality Assurance	158
304	Continuing Professional Development	158
	Appendix	159
9	Transport	159
	Housing and Enrichment	162
	European Treaty Series 123	162
	ETS 123, Appendix A	162
	Directive 2010/63/EU	167
302	Conclusion	168
306	Husbandry and Environment	168
306	Veterinary Care	172
307	Conduct of Experimental Procedures	175
309	Choice of Methods	175
310	Anesthesia	176
311	Classification of Severity of Procedures	177
312	End of the Procedure	179
312	Euthanasia	179
313	Equipment and Facilities	183
313	References	185
6.	Israeli Legislation and Regulation on the Use of Animals in Biological and Medical Research	
	<i>Rony Kalman, Alon Harmelin, Ehud Ziv, and Yacov Fischer</i>	
	General Framework	189
	The Principles	191
	Scope/Applicability	192
	Nonhuman Primates	192
	Genetically Altered Animals	194
	Institutional and Designated Personnel Responsibilities	194
	The Institutional Animal Care and Use Committee	195
	The Attending Veterinarian	195
	Oversight and Ethical Review Process	196
	Veterinary Care	197
	Reuse	198
	Setting Free/Rehoming	198
	Occupational Health and Safety	199
	Principles	199
	Education, Training, and Competence of Personnel	200
	Education and Teaching with Animals	201

Transport	201
Housing and Enrichment	202
Husbandry and Environment	202
Conduct of Experimental Procedures	202
Euthanasia	203
Equipment and Facilities	203
Authorization and Inspection	203
References	204
7. Animal Experimentation in Africa: Legislation and Guidelines	
<i>Amanda R. Hau, Faisal A. Guhad, Margaret E. Cooper, Idle O. Farah, Ouajdi Souilem, and Jann Hau</i>	
Introduction	205
The Present Situation	206
General Framework	206
The Principles	207
Nonhuman Primates and Genetically Altered Animals	209
Transport, Housing, and Enrichment	210
Euthanasia	211
Education and Awareness	212
Inspection, Oversight, and Enforcement	212
Discussion	213
Main Issues	213
Prospects for Improvement	214
Conclusion	215
References	216
8. Laws, Regulations, and Guidelines Shaping Research Animal Care and Use in India	
<i>Syed SYH Qadri and Christian E. Newcomer</i>	
General Framework and Principles	219
Legal and Regulatory Scope/Applicability	223
Regulatory Authorization and Enforcement Mechanisms Including Penalties	223
Nonhuman Primates: Special Considerations	225
Genetically Altered Animals: Special Considerations	226
Institutional and Designated Personnel	226
Oversight and Ethical Review	227
Animal Reuse	230
Setting Free/Rehabilitation of Experimental Animals	231
Occupational Health and Safety	231
Conduct of Experimental Procedures	232
Euthanasia	233

Equipment and Facilities	234
Education, Training, and Competence of Personnel	237
Veterinary Care	239
Housing and Enrichment	239
Husbandry and Environment	240
Transportation	240
References	241
9. Oversight of Animal Research in China	
<i>Kathryn Bayne and Jianfei Wang</i>	
Introduction	244
Oversight of Research Animals	246
Animal Welfare Principles	247
Authorization for the Use of Laboratory Animals	248
National Level	248
Local Level	249
Laboratory Animal Quality Control	250
Oversight and Ethical Review Process	251
Reuse	252
Setting Free/Rehoming	252
Occupational Health and Safety	252
Alternatives	254
Education and Training	255
Animal Transportation	256
Housing and Enrichment	256
Husbandry and Environment	258
Veterinary Care	259
Conduct of Experimental Procedures	260
Euthanasia	261
Equipment and Facilities	261
Oversight of Nonhuman Primates in Research	262
Other	263
Conclusions	264
References	265
10. Laws, Regulations, Guidelines, and Principles Pertaining to Laboratory Animals in Far East Asia	
<i>Tsutomu Miki-Kurosawa, Jae Hak Park, and Chou-Chu Hong</i>	
Japan	268
Background and Current Situation	268
Scope	270
The Principles	270
Ethical Review	270

Institutional and Designated Personnel Responsibilities and Qualifications	270
Personnel Training, Education, and Competency Requirement	271
Animal Transportation	271
Physical Plant, Housing, and Environmental Conditions	272
Behavioral and Environmental Management Enrichment	273
Occupational Health and Safety	273
Veterinary Care	273
Euthanasia	273
Special Considerations	273
Nonhuman Primates	273
Genetically Altered Animals	273
Reuse of Animals	274
Annual Reports	274
Care and Use of Fish	274
South Korea	274
General Framework	274
The Principles	276
Scope/Applicability	277
Authorization of User-Breeding Institutions/Inspection/Penalties by Competent Authorities and Institutional and Designated Personnel Responsibilities	278
Nonhuman Primates	279
Genetically Altered Animals: Special Considerations	280
Oversight and Ethical Review Process	280
Reuse	285
Setting Free/Rehoming	285
Occupational Health and Safety	285
Education, Training, and Competence of Personnel	286
Transport	286
Husbandry, Housing, and Environment	286
Veterinary Care	287
Euthanasia	287
Taiwan	288
General Framework	288
The Principles	290
Scope/Applicability	290
Authorization of Institutions/Inspection/Penalties by Competent Authorities	291
Oversight and Ethical Review Process	292
Education, Training, and Competence Personnel	292
Responsibilities of Laboratory Animal Veterinarian	292
Animal Husbandry, Housing, and Enrichment	293
Euthanasia	293
Animal Facility and Special Animal Biosafety	293
References	294

11. Laws, Regulations, Guidelines, and Principles Pertaining to Laboratory Animals in Southeast Asia	295
<i>Montip Gettayacamin, Richard Grant, Imelda Liunanita Winoto, Dondin Sajuthi, Yasmaia Arditi Paramastri, Joanna Debby Khoo, Pradon Chatikavanij, Jason Villano, and Abdul Rahim Mutalib</i>	
Introduction	296
Cambodia	297
Background and Current Situation	297
Summary of Regulations or Legal Requirements	297
Exportation of Nonhuman Primates from Cambodia	297
Indonesia	300
Background and Current Situation	300
Summary of Regulations or Legal Requirements	301
Animal Care and Use Areas Addressed by the Regulations	304
Special Considerations	306
Malaysia	306
Background and Current Situation	306
Summary of Regulations or Legal Requirements	307
Philippines	308
Background and Current Situation	308
Summary of Regulations and Legal Requirements	308
Animal Care and Use Areas Addressed by the Regulations	311
Singapore	312
Background and Current Situation	312
Summary of Regulations or Legal Requirements	313
Animal Care and Use Areas Addressed by the Regulations	317
Special Considerations	321
Other Areas	323
Thailand	324
Background Information and Current Situation	324
Summary of Regulations, Guidelines, or Legal Requirements	325
Animal Care and Use Areas Addressed by the <i>Ethical Guidelines</i>	330
Animal Care	330
References	330
12. Laboratory Animals Regulations and Recommendations for Global Collaborative Research: Australia and New Zealand	
<i>John Schofield, Denise Noonan, Yvette Chen, and Peter Penson</i>	
General Framework—Australia	335
Regulatory Framework	335
Scope in Brief	336
National Harmonization	336
The Australian Code and Other Guidance Documents	337
General Framework—New Zealand	339

The Principles—Australia	340
Justification, Responsibilities, and the 3Rs	340
The Principles—New Zealand	341
Scope/Applicability—Australia	342
Scope/Applicability—New Zealand	343
Animal	343
Research, Testing, and Teaching	344
Manipulation	344
Physical Health and Behavioral Needs	345
Authorization of User-Breeding Institutions/Inspection/Penalties by Competent Authorities—Australia	346
Authorization of User-Breeding Institutions/Inspection/Penalties by Competent Authorities—New Zealand	346
Non-Human Primates: Special Considerations and Restrictions— Australia	347
NHMRC Statement on the Use of Great Apes	347
NHMRC Policy on the Care and Use of Nonhuman Primates for Scientific Purposes	348
Non-Human Primates: Special Considerations and Restrictions— New Zealand	349
Genetically Altered Animals—Australia	349
Genetically Altered Animals—New Zealand	350
Institutional and Designated Personnel Responsibilities—Australia	351
Institutional and Designated Personnel Responsibilities— New Zealand	352
Oversight and Ethical Review Process—Australia	353
Oversight and Ethical Review Process—New Zealand	354
Reuse: Requirements—Australia	357
Reuse: Requirements—New Zealand	358
Setting Free/Rehoming Requirements—Australia	358
Setting Free/Rehoming: Requirements for New Zealand	359
Occupational Health and Safety—Australia	359
Occupational Health and Safety—New Zealand	359
Education, Training, and Competence of Personnel—Australia	359
Animal Care Personnel	360
Education, Training, and Competency with Regard to Non-Human Primates	361
Education, Training, and Competence of Personnel—New Zealand	361
Transport—Australia	362
Specific NHMRC Importation Requirements for Non-Human Primates	362
Transport—New Zealand	363
Housing and Enrichment—Australia	363
Housing and Enrichment—New Zealand	364
Enrichment and Environmental Complexity	365
Husbandry and Environment—Australia	366
Husbandry and Environment—New Zealand	366
Veterinary Care—Australia	367
Veterinary Care—New Zealand	367

Conduct of Experimental Procedures—Australia	368
Planning to Maximize Well-Being and Minimize Pain and Distress in Animals	368
Detecting and Limiting Pain and Distress	369
Monitoring, Reporting, and Reviewing Research and Teaching Use	370
Conduct of Experimental Procedures—New Zealand	370
Euthanasia—Australia	371
Euthanasia—New Zealand	372
Equipment and Facilities—Australia	372
Equipment and Facilities—New Zealand	372
References	373
Index	377